

## ALMOST SPLIT K-FORMS OF KAC-MOODY ALGEBRAS

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## ABSTRACT

If  $\mathfrak{g}_K$  is an almost split  $K$ -form of a Kac-Moody algebra over a field  $K$  of characteristic 0, we prove, as in the finite-dimensional case, the conjugacy of the maximal  $K$ -split toral subalgebras of  $\mathfrak{g}_K$ .

## § 1 KAC-MOODY ALGEBRAS:

1.1 Let  $K$  be a field of characteristic 0 and  $\bar{K}$  its algebraic closure.

Let  $\mathfrak{g}$  be a Lie algebra over  $K$ . A  $K$ -split toral subalgebra of  $\mathfrak{g}$  is a subalgebra  $\mathfrak{t}$  which is  $\text{ad}_{\mathfrak{g}}$ -diagonalizable. A toral subalgebra is a subalgebra  $\mathfrak{t}$  such that  $\mathfrak{t} \otimes \bar{K}$  is a  $\bar{K}$ -split toral subalgebra of  $\mathfrak{g} \otimes \bar{K}$ ; it is a commutative subalgebra of  $\mathfrak{g}$ . A maximal toral subalgebra of  $\mathfrak{g}$  contains its center  $\mathfrak{z}$ .

A Cartan-toral subalgebra of  $\mathfrak{g}$  is a toral subalgebra  $\mathfrak{h}$  equal to its normalizer; it is a maximal toral subalgebra of  $\mathfrak{g}$ . If  $\mathfrak{h}$  is in a subalgebra  $\mathfrak{s}_1$  of  $\mathfrak{g}$  then  $\mathfrak{h}$  is a Cartan-toral subalgebra of  $\mathfrak{s}_1$ . Suppose now that  $\mathfrak{s}_1$  is finite dimensional, then  $\mathfrak{h}$  is a Cartan subalgebra of  $\mathfrak{s}_1$  (in the classical sense: <sup>4</sup>VII), and all Cartan subalgebras or maximal toral subalgebras of  $\mathfrak{s}_1$  are Cartan-toral subalgebras (<sup>4</sup>VII 3.2 and 2.3 prop.10, see <sup>11</sup>) 1.6.2).

In the sequel as in <sup>10</sup>) Cartan subalgebra will mean maximal toral subalgebra; but all Cartan subalgebras we shall speak of will be Cartan-toral subalgebras.

In the sequel of this paragraph we suppose  $K = \bar{K}$  algebraically closed. For the standard facts stated below proofs may be found in <sup>6</sup>) or <sup>10</sup>) sometimes in <sup>7</sup>), <sup>8</sup>) or <sup>11</sup>). In these references  $K$  is often  $\mathbb{C}$  but the same proofs give the same results for  $K$ .

1.2 Let  $\mathfrak{g}$  be a Kac-Moody algebra over  $K$ . We shall always suppose  $\mathfrak{g}$  indecomposable and infinite dimensional. We have a root space decomposition of  $\mathfrak{g}$  with respect to the standard Cartan (toral) subalgebra  $\mathfrak{h} : \mathfrak{g} = \mathfrak{h} \oplus (\oplus_{\alpha} \mathfrak{g}_{\alpha})$  where  $\alpha$  runs in the root system  $\Delta = \Delta(\mathfrak{g}, \mathfrak{h}) \subset \mathfrak{h}^* - \{0\}$ .

The standard basis of  $\Delta$  is  $\Pi = \{\alpha_i / i \in I\}$ . There are corresponding coroots  $\alpha_i^{\vee}$  in  $\mathfrak{h}$  such that  $a_{ij} = \alpha_j(\alpha_i^{\vee})$  are the coefficients of the generalized Cartan matrix associated to  $\mathfrak{g}$ . The Weyl group  $W$  of  $(\mathfrak{g}, \mathfrak{h})$  is generated by the fundamental reflections  $r_i$  defined by  $r_i(h) = h - \alpha_i(h)\alpha_i^{\vee}$  for  $h$  in  $\mathfrak{h}$ . A real root is a root conjugated by  $W$  to a root in  $\Pi$ .

1.3 Given a subset  $J$  of  $I$  we define a subset of  $\Delta$  by :

$$\Delta(J) = \Delta \cap ((\oplus_{i \in J} \mathbb{Z}\alpha_i) \oplus (\oplus_{i \notin J} \mathbb{N}\alpha_i))$$

Then the subalgebra  $\mathfrak{p}^+(J) = \mathfrak{p}(J)$  (resp.  $\mathfrak{p}^-(J)$ ) is  $\mathfrak{h} \oplus \mathfrak{g}_{\alpha}$  where  $\alpha$  runs in  $\Delta(J)$  (resp.  $-\Delta(J)$ ) and is called a (positive resp. negative) standard parabolic subalgebra. The subset  $J$  and the corresponding parabolics are said of finite type if the matrix of the  $a_{ij}$  for  $i, j$  in  $J$  is a Cartan matrix (of a semi-simple algebra).

We always suppose that the parabolics are proper i.e.  $J \neq I$ . When  $J = \emptyset$  the parabolics  $\mathfrak{b}^+ = \mathfrak{p}^+(\emptyset)$  and  $\mathfrak{b}^- = \mathfrak{p}^-(\emptyset)$  are called standard Borel subalgebras.

1.4 One defines a group  $G$  acting on  $\mathfrak{g}$  via the adjoint representation  $\text{Ad} : G \rightarrow \text{Aut}(\mathfrak{g})$ . It is generated by the subgroups  $U_{\alpha}$  for  $\alpha$  a real root and  $\text{Ad}(U_{\alpha}) = \exp(\text{ad}(\mathfrak{g}_{\alpha}))$ .

The Cartan subalgebras of  $\mathfrak{g}$  are conjugated by  $G$ .

A Borel subalgebra of  $\mathfrak{g}$  is a maximal completely solvable subalgebra. It is always conjugated by  $G$  to  $\mathfrak{b}^+$  or  $\mathfrak{b}^-$ . But  $\mathfrak{b}^+$  and  $\mathfrak{b}^-$  are not conjugated under  $G$ , so there are exactly two conjugacy classes of Borel subalgebras: the positive or negative ones.

A (proper) parabolic subalgebra of  $\mathfrak{g}$  is a subalgebra (different from  $\mathfrak{g}$ ) containing a Borel subalgebra; it is conjugated to a (positive or negative) standard parabolic subalgebra. Its sign is the sign of the Borel subalgebra it contains. Two different standard parabolic subalgebras cannot be conjugated by  $G$ .

A linear or semilinear automorphism of  $\mathfrak{g}$  is of first kind (resp. second kind) if it transforms a Borel subalgebra into a Borel subalgebra of the same (resp. opposite) sign.

1.5 If  $\mathfrak{t}$  is a toral subalgebra we denote  $X(\mathfrak{t})$  the sub- $\mathbb{Z}$ -module of the dual  $\mathfrak{t}^*$  generated by the weights of  $\mathfrak{t}$  for  $\text{ad}_{\mathfrak{g}}$ . As  $\mathfrak{t}$  is a subalgebra of some Cartan subalgebra  $\mathfrak{h}$ ,  $X(\mathfrak{t})$  is a quotient of  $X(\mathfrak{h})$  hence finitely generated. It is also torsionfree so it is a free  $\mathbb{Z}$ -module.

The group  $\tilde{T} = \text{Hom}(X(\mathfrak{t}), K^*)$  is a product  $(K^*)^n$ . Moreover  $\mathfrak{t}$  in  $\tilde{T}$  acts by multiplication by  $t(\chi)$  on the eigenspace  $\mathfrak{g}_{\chi}$  of  $\mathfrak{t}$ , so  $\tilde{T}$  is a subgroup of  $\text{Aut}(\mathfrak{g})$ . We then define the subgroup  $T = \text{Ad}^{-1}(\tilde{T})$  of  $G$ .

Let  $\mathfrak{t}_r$  be the set of elements in  $\mathfrak{g}$  which are diagonalizable with the same eigenspaces as  $\mathfrak{t}$  in any tensor power of the adjoint representation. It is clear that  $\mathfrak{t}_r$  is a toral subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{t}$ . In particular if  $\mathfrak{h}$  is a Cartan subalgebra then  $\mathfrak{h} = \mathfrak{h}_r$ .

**Proposition 1.6:** a) If  $\mathfrak{t}'$  is a toral subalgebra of  $\mathfrak{g}$  contained in  $\mathfrak{t}$ , then  $\tilde{T}' \subset \tilde{T}$  and  $T' \subset T$   
 b) If  $\mathfrak{t}$  is in the Cartan subalgebra  $\mathfrak{h}$  then  $\mathfrak{t}_r$  is the subspace of  $\mathfrak{h}$  defined by equations which are linear combinations of roots with integer coefficients and which are satisfied by  $\mathfrak{t}$ . In particular  $\mathfrak{t} \subset \mathfrak{t}_r$ ,  $X(\mathfrak{t}_r) = X(\mathfrak{t})$ ,  $\tilde{T}_r = \tilde{T}$  and  $T_r = T$ .

c)  $\tilde{T}$  is the Zariski closure of  $\text{Ad}(T)$  in  $\text{GL}(\mathfrak{g})$ .

d) The eigenspaces of  $\tilde{T}$ ,  $T$  and  $\mathfrak{t}$  are the same. The subspaces of  $\mathfrak{g}$  stabilized by  $\tilde{T}$ ,  $T$  or  $\mathfrak{t}$  are the same.

**Remark:** More precisely c) means that for any finite dimensional subspace  $V$  of  $\mathfrak{g}$  stabilized by  $\tilde{T}$ , the group  $\tilde{T}$  is the Zariski closure of  $\text{Ad}(T)$  in  $\text{GL}(V)$ .

**Proof:** a) If  $\mathfrak{t}'$  is in  $\mathfrak{t}$  then  $X(\mathfrak{t}')$  is a quotient of  $X(\mathfrak{t})$  and  $\tilde{T}' \subset \tilde{T}$ ,  $T' \subset T$ .

b) As the opposite of a root is a root, the weights of  $\mathfrak{h}$  in tensor powers of  $\text{ad}$  may be any linear combination of the roots, so b) is clear.

c) Let  $\mathfrak{h}$  be a Cartan subalgebra; the group  $H$  stabilizes  $\mathfrak{h}$  so it is the same as the group of the same name defined in <sup>10</sup>, cf <sup>11</sup> 1.6.5. From the definition in <sup>10</sup> one can see that  $\text{Ad}(H)$  contains  $\text{Hom}(X(\mathfrak{h}), (K^*)^2)$ . If  $\mathfrak{t}$  is in  $\mathfrak{h}$  then  $X(\mathfrak{t})$  is a quotient of  $X(\mathfrak{h})$  and  $\text{Ad}(T) = \text{Ad}(H) \cap \tilde{T}$  contains  $\text{Hom}(X(\mathfrak{t}), (K^*)^2)$  which has clearly for Zariski closure  $\tilde{T} = \text{Hom}(X(\mathfrak{t}), K^*)$ .

d) As  $\tilde{T}$  is a product  $(K^*)^n$ , we may identify  $X(\mathfrak{t})$  with the  $\mathbb{Z}$ -module  $X(\tilde{T})$  of algebraic group homomorphisms from  $\tilde{T}$  to  $K^*$ . The eigenspaces of  $\mathfrak{t}$  and  $\tilde{T}$  are thus the same. So d) is clear in view of c).

**Definitions 1.7:** A toral subalgebra  $\mathfrak{t}$  satisfying  $\mathfrak{t}_r = \mathfrak{t}$  will be called rational.

A subgroup of the form  $\tilde{T}$  (resp.  $T$ ) will be called a rational torus of  $\text{Aut}(\mathfrak{g})$  (resp.  $G$ ), cf <sup>8</sup> 3.2 p. 131.

It is a consequence of 1.6 that there is a one to one correspondence between rational toral subalgebras of  $\mathfrak{g}$  and rational torus of  $G$  (or  $\text{Aut}(\mathfrak{g})$ ). This correspondence preserves inclusion.

If  $\mathfrak{t}$  is a toral subalgebra, the  $\mathbb{Z}$ -dual of  $X(\mathfrak{t})$  (resp. the vector space  $V(\mathfrak{t})$ ) is the set of the  $x$  in  $\mathfrak{t}_r/\mathfrak{t}$  such that  $\chi(x)$  is in  $\mathbb{Z}$  (resp.  $\mathbb{Q}$ ) for all  $\chi$  in  $X(\mathfrak{t})$ .

### 1.8 Parabolic Subgroups:

The group  $U^+$  (resp.  $U^-$ ) is the subgroup of  $G$  generated by all  $U_{\alpha}$  for  $\alpha$  a positive (resp. negative) real root.

The group  $B^+ = B = HU^+$  (resp.  $B^- = HU^-$ ) is the standard positive (resp. negative) Borel subgroup.

For  $J$  in  $I$  the associated standard positive (resp. negative) parabolic subgroup of  $G$  is  $P(J) = P^+(J) = B^+W(J)B^+$  (resp.  $P^-(J) = B^-W(J)B^-$ ) where  $W(J)$  is the subgroup of  $W$  generated by the  $r_i$  for  $i$  in  $J$ . The group  $P(J)$  (resp.  $P^-(J)$ ) is the stabilizer of  $\mathfrak{p}(J)$  (resp.  $\mathfrak{p}^-(J)$ ).

A positive or negative parabolic subgroup of  $G$  is a conjugate of a positive or negative standard parabolic subgroup.

The applications  $\mathfrak{p}(J) \rightarrow P(J)$ ,  $\mathfrak{p}^-(J) \rightarrow P^-(J)$  extend to a  $G$ -equivariant one to one correspondence between parabolic subalgebras and parabolic subgroups (cf <sup>11</sup> 1.6.4). This correspondence preserves inclusion.

**Remark 1.9:** If the toral subalgebra  $\mathfrak{t}$  is in the parabolic subalgebra  $\mathfrak{p}$ , then  $\mathfrak{t}$  and  $T$  stabilize  $\mathfrak{p}$ , so  $T$  is in  $P$ . If  $T$  is in  $P$  then  $T$  and  $\mathfrak{t}$  stabilize  $\mathfrak{p}$ , so  $\mathfrak{t}$  is in  $\mathfrak{p}$  as  $\mathfrak{p}$  is its own normalizer (<sup>11</sup> 1.2.a). Thus the correspondences between the subalgebras of  $\mathfrak{g}$  and the subgroups of  $G$  we considered before preserve inclusion. In particular all the results we shall prove for these subalgebras of  $\mathfrak{g}$  may be translated for these subgroups of  $G$ .

### § 2 K-FORMS OF $\mathfrak{g}$ :

2.1 Let  $\mathfrak{g}$  be a Kac-Moody algebra (indecomposable and infinite dimensional) over  $\bar{K}$ . A K-form of  $\mathfrak{g}$  is a Lie algebra  $\mathfrak{g}_K$  such that there exists an isomorphism from  $\mathfrak{g}$  to  $\mathfrak{g}_K \otimes \bar{K}$ .

In the sequel (of §2 and §4) we fix such a  $K$ -form  $\mathfrak{g}_K$  and such an isomorphism. Then the Galois group  $\Gamma = \text{Gal}(\bar{K}/K)$  acts on  $\mathfrak{g}$  and the corresponding group  $G$ . We identify  $\mathfrak{g}_K$  with the fixed point set  $\mathfrak{g}^\Gamma$  and define  $G_K = G^\Gamma$ .

**Definitions 2.2** : If  $\Gamma$  consists of first kind automorphisms we shall say that  $\mathfrak{g}_K$  is almost split. Otherwise  $\Gamma$  contains a second kind automorphism and we shall say that  $\mathfrak{g}_K$  is almost anisotropic.

If  $\mathfrak{g}_K$  is almost anisotropic then no proper parabolic subalgebra of  $\mathfrak{g}$  is stable by  $\Gamma$  (i.e. is defined over  $K$ ). If  $\mathfrak{g}_K$  is almost split we shall see (4.3) that  $\Gamma$  stabilizes a proper parabolic subalgebra. So this definition of almost anisotropic agrees with that used by Bruhat and Tits in the finite dimensional case : §1.7.

Almost split is a generalization of split or quasi-split (in this case a Borel subalgebra is defined over  $K$ ).

2.3 A way to build all almost split real forms of affine Kac-Moody algebras is given in §12. Here we shall prove for all almost split  $K$ -forms the conjugacy under  $G_K$  of maximal  $K$ -split toral subalgebras. This will be done in §4 after some more preliminaries in §3.

If  $\mathfrak{g}_K$  is almost anisotropic, then the set of first kind automorphisms in  $\Gamma$  is a normal subgroup of index 2. So  $\mathfrak{g}_K$  becomes almost split over a Galois extension of degree 2. This shows the importance of almost split  $K$ -forms and of the following example.

**Example 2.4** <sup>11)</sup> : An almost anisotropic (or "almost compact") real form of a symmetrizable Kac-Moody algebra  $\mathfrak{g}$  over  $\mathbb{C}$  correspond to an anti-involution  $\sigma_0$  of the second kind of  $\mathfrak{g}$ . One of these anti-involutions is the so-called Cartan anti-involution  $\omega_0$  corresponding to the "compact form". But if  $\sigma_0$  is an anti-involution of second kind, there exists a (conjugate of the) Cartan anti-involution  $\omega_0$  which commutes with  $\sigma_0$  hence  $\sigma = \sigma_0 \omega_0$  is an involution of the first kind : <sup>11)</sup> or <sup>8)</sup> 3.6.

This gives bijections between conjugacy classes (under  $G$  or  $\text{Aut}(\mathfrak{g})$ ) of :

- 1) first kind involutions of  $\mathfrak{g}$ .
- 2) pairs of an almost compact real form  $\mathfrak{g}_\mathbb{R}$  and a "maximally compact" Cartan subalgebra of  $\mathfrak{g}_\mathbb{R}$ .
- 3) pairs of an almost compact real form  $\mathfrak{g}_\mathbb{R}$  and a "maximal compact subalgebra" of  $\mathfrak{g}_\mathbb{R}$ .

The first kind involutions of affine algebras were classified by F. Levstein <sup>9)</sup> and J. Bausch <sup>1), 2) and 3)</sup>. Unfortunately this gives perhaps not a classification of almost compact real forms of affine algebras.

### § 3 THE BUILDING OF $\mathfrak{g}$ OVER $\bar{K}$ :

In this paragraph we suppose  $K = \bar{K}$  algebraically closed.

#### 3.1 The Twin Tits System.

We chose a Cartan subalgebra  $\mathfrak{h}$  and a basis of roots.

Let  $N$  be the normalizer of  $\mathfrak{h}$  in  $G$ , one knows that  $N/\mathfrak{h}$  is isomorphic to the Weyl group  $W$  and so is generated by  $S = \{ r_i / i \in I \}$ .

Then Kac and Peterson proved in <sup>7)</sup> 4.2 that  $(G, N, U^+, U^-, \mathfrak{h}, S)$  is a twin (or refined) Tits system. To this twin Tits system are associated two saturated Tits system (<sup>7)</sup> 3.1 and cor. 3.4) :  $(G, HU^+, N, S)$  and  $(G, HU^-, N, S)$ .

We thus have two Bruhat decompositions :  $G = U^+ N U^+ = U^- N U^-$ , but also a Birkhoff decomposition (<sup>7)</sup> 3.3) :  $G = U^- N U^+$  ; (for these three decompositions, if  $g = u.n.u'$  the element  $n$  is well determined by  $g$ ).

#### 3.2 The Abstract Building :

The Tits systems above give two buildings  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$  that we shall now describe by their sets  $\mathcal{F}^+$  and  $\mathcal{F}^-$  of facets and their sets  $\mathcal{A}^+$  and  $\mathcal{A}^-$  of apartments ; the proofs may be found in <sup>4)</sup> exercises of IV § 1 and § 2.

The facets in  $\mathcal{F}^+$  are in one to one correspondence with the positive proper parabolics. The facets are ordered by the opposite of the inclusion of parabolics ; this order is called the inclusion of facets. The maximal facets called chambers correspond to positive Borel subgroups i.e. conjugates of  $B^+ = HU^+$ .

The facet corresponding to a parabolic subgroup  $P$  (resp. parabolic subalgebra  $\mathfrak{p}$ ) is called  $F(P)$  (resp.  $F(\mathfrak{p})$ ), we say  $F(P)$  is of finite type iff  $P$  is. The parabolic subgroup (resp. subalgebra) corresponding to the facet  $F$  is  $P(F)$  (resp.  $\mathfrak{p}(F)$ ); when  $C = F(\mathfrak{g}B^+)$  is a chamber we define  $U(C) = \mathfrak{g}U^+$ .

The group  $G$  acts on  $\mathcal{F}^+$  by  $gF(P) = F(gP)$  so the stabilizer of a facet  $F$  is  $P(F)$ .

The standard apartment  $A^+(\mathfrak{h})$  of  $\mathfrak{B}^+$  is the union of facets corresponding to parabolic subalgebras i.e. the union of transforms by  $W$  of facets corresponding to standard parabolics. The set  $\mathcal{A}^+$  of apartments is the set of transforms of  $A^+(\mathfrak{h})$  by  $G$ . As the Tits

system is saturated the stabilizer of  $A^+(\mathfrak{h})$  is  $N$ , so  $\mathcal{U} \cong G/N$ . As  $N$  is also the normalizer of  $\mathfrak{h}$  or  $H$ , the apartments are in one to one correspondence with the Cartan subalgebras or Cartan subgroups. By 1.7 The apartment  $A^+(\mathfrak{h})$  corresponding to the Cartan subalgebra  $\mathfrak{h}$  is the union of positive facets  $F$  such that  $\mathfrak{p}(F)$  contains  $\mathfrak{h}$ .

All the preceding definitions may also be given for  $\mathfrak{B}^-$ , replacing each  $+$  by a  $-$ .

The twin building  $\mathfrak{B}$  has a set  $\mathcal{F}$  of facets which is the disjoint union of  $\mathcal{F}^+$  and  $\mathcal{F}^-$ . The apartments of  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$  are in one to one correspondence with the Cartan subalgebras; and we define  $A(\mathfrak{h}) = A^+(\mathfrak{h}) \cup A^-(\mathfrak{h})$  as the apartment of  $\mathfrak{B}$  corresponding to  $\mathfrak{h}$ . The set  $\mathcal{U}$  of apartments of  $\mathfrak{B}$  is thus identified with  $\mathcal{U}^+$  and  $\mathcal{U}^-$ . A facet  $F$  is in the apartment  $A(\mathfrak{h})$  if and only if  $\mathfrak{h}$  is in  $\mathfrak{p}(F)$ .

It is a consequence of Bruhat and Birkhoff decompositions that two facets of  $\mathfrak{B}$  are contained in a same apartment.

### 3.3 Geometric Description of $\mathfrak{B}$ :

In  $V(\mathfrak{h})$  we may consider the cone  $C = \{x \in V(\mathfrak{h}) / \alpha_i(x) \geq 0 \ \forall i \in I\}$  and the union  $TC^+(\mathfrak{h})$  of the  $wC$  for  $w$  in  $W$ . This set is the Tits cone inside  $V(\mathfrak{h})$  (the true Tits cone is inside  $\mathfrak{h}_{\mathbb{R}}$ : 6) 3.12). For  $J$  inside  $I$  we define  $C_J = \{x \in C / \alpha_i(x) = 0 \ \forall i \in J\}$ . As a consequence of 6) 3.12 the conjugates  $wC_J$  for  $w$  in  $W$  and  $J$  inside  $I$  are the (closed) facets defined inside  $TC^+(\mathfrak{h})$  by the walls  $\text{Ker}(\alpha)$  for  $\alpha$  a real root, and the stabilizer (or fixator) in  $W$  of  $C_J$  is  $W(J)$ . So there is a  $W$ -equivariant identification between the sets of facets of  $A^+(\mathfrak{h})$  and of  $TC^+(\mathfrak{h})$  which identify  $C_J$  to  $F(P(J))$ . As we want only proper parabolics, we shall remove the point 0 from  $C$ ,  $C_J$  and  $TC^+(\mathfrak{h})$ .

For  $J$  inside  $I$ ,  $J \neq I$ , we define  $\text{int}(C_J)$  as the set of  $x$  in  $C_J$  such that  $\alpha_i(x) > 0$  for  $i$  not in  $J$ . The open facets of  $TC^+(\mathfrak{h})$  are the sets  $w.\text{int}(C_J)$  for  $w$  in  $W$ ,  $J$  inside  $I$ ,  $J \neq I$ ; they are in one to one correspondence with the (closed) facets and  $TC^+(\mathfrak{h})$  is the disjoint union of its open facets. The interior of the Tits cone  $\text{int}(TC^+(\mathfrak{h}))$  is the union of its open facets of finite type (8) 2.1 p.118). If  $x$  is in the open facet  $F$ , we define  $P(x) = P(F)$ , etc.

Now let  $\mathfrak{B}^+ = G \times TC^+(\mathfrak{h}) / \sim$  where  $(g,x) \sim (h,y)$  if and only if there exists  $n$  in  $N$  such that  $y = nx$  and  $g^{-1}hn$  is in  $P(x)$ . There is an obvious action of  $G$  on  $\mathfrak{B}^+$ . It is a consequence of Bruhat decomposition that  $P(J) \cap N = W(J)H$  which fixes  $C_J$ . So if  $(1,x) \sim (1,y)$  then  $x=y$  and we may identify  $TC^+(\mathfrak{h})$  with a subset of  $\mathfrak{B}^+$  by identifying  $x$  and the class of  $(1,x)$ .

Also if  $x, y$  are in  $\text{int}(C_J)$  and  $(g,x) \sim (h,y)$  then  $x=y$  and  $g^{-1}h$  is in  $P(J)$ . We define a (closed resp. open) facet of  $\mathfrak{B}^+$  as a set  $gF$  where  $g$  is in  $G$  and  $F$  is a (closed resp. open)

facet of  $TC^+(\mathfrak{h})$ , the stabilizer or the (point by point) fixator of this facet is thus the corresponding parabolic  $\mathfrak{p}(F)$ . So there is a  $G$ -equivariant identification between  $\mathcal{F}^+$  and the set of these (closed resp. open) facets.

The intersection of the sets  $N \cdot wB^+ = N \cdot wU^+$  for  $w$  in  $W$  is  $N$  (10 cor.5); the stabilizer of  $TC^+(\mathfrak{h})$  is thus  $N$ . If we define the apartments of  $\mathfrak{B}^+$  as the sets  $g.TC^+(\mathfrak{h})$  for  $g$  in  $G$ , we have a  $G$ -equivariant identification between  $\mathcal{U}^+$  and the set of these apartments.

We have given a geometric description of  $\mathfrak{B}^+$  by gluing the apartments along facets.

The same things may be done for  $\mathfrak{B}^-$  (replacing each  $+$  by a  $-$ ) and we define the twin building  $\mathfrak{B}$  as the disjoint union of  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$ . The apartment of  $\mathfrak{B}$  corresponding to the Cartan subalgebra  $\mathfrak{h}$  is  $A(\mathfrak{h}) = TC^+(\mathfrak{h}) \cup TC^-(\mathfrak{h})$ , where  $TC^-(\mathfrak{h}) = -TC^+(\mathfrak{h})$ .

Definitions 3.4: Let  $\Omega$  be a non empty subset of  $\mathfrak{B}$  contained in  $A(\mathfrak{h})$ .

The vectorial extension  $ve(\Omega)$  (resp. convex hull  $ch(\Omega)$ ) of  $\Omega$  in  $A(\mathfrak{h})$  is the intersection of  $A(\mathfrak{h}) = TC^+(\mathfrak{h})$  with the subspace generated by  $\Omega$  (resp. the convex hull of  $\Omega$ ) in  $V(\mathfrak{h})$ .

$\Delta^m(\Omega)$  (resp.  $\Delta^u(\Omega)$ ) is the set of roots  $\alpha$  such that  $\alpha(\Omega) = 0$  (resp.  $\alpha(\Omega) \geq 0$  and  $\alpha(\Omega) \neq 0$ ).

The enclosure (resp. vectorial enclosure) of  $\Omega$  in  $A(\mathfrak{h})$  is the subset  $cl(\Omega)$  (resp.  $vcl(\Omega)$ ) of  $TC^+(\mathfrak{h})$  defined by  $\alpha(x) \geq 0$  for  $\alpha$  in  $\Delta^m(\Omega) \cup \Delta^u(\Omega)$  (resp.  $\alpha(x) = 0$  for  $\alpha$  in  $\Delta^m(\Omega)$ ); it contains the convex hull (resp. vectorial extension).

$\mathfrak{p}(\Omega)$  (resp.  $P(\Omega)$ ) is the intersection of the  $\mathfrak{p}(F)$  (resp.  $P(F)$ ) for  $F$  an open facet of  $A(\mathfrak{h})$  which meets  $\Omega$ .

$\mathfrak{m}(\Omega) = \mathfrak{h} \oplus (\oplus_{\alpha} \mathfrak{g}_{\alpha})$  where  $\alpha$  runs in  $\Delta^m(\Omega)$ .

$\mathfrak{u}(\Omega) = \oplus_{\alpha} \mathfrak{g}_{\alpha}$  where  $\alpha$  runs in  $\Delta^u(\Omega)$ .

$M(\Omega)$  is the subgroup of  $G$  generated by  $H$  and the  $U_{\alpha}$  for  $\alpha$  a real root in  $\Delta^m(\Omega)$ .

$U(\Omega)$  is the smallest subgroup of  $P(\Omega)$ , normalized by  $M(\Omega)$  and containing the intersection of the  $U(C)$  for  $C$  a closed chamber of  $A(\mathfrak{h})$  meeting  $\Omega$ .

Remark: All these things except  $P(\Omega)$ ,  $\mathfrak{p}(\Omega)$  (and as we shall see  $ch(\Omega)$ ,  $cl(\Omega)$ ,  $U(\Omega)$  and  $\mathfrak{u}(\Omega)$ ) depend not only of  $\Omega$  but also of  $\mathfrak{h}$ . They all depend not of  $\Omega$  but of  $ch(\Omega)$  or  $cl(\Omega)$  (for  $\mathfrak{p}(\Omega)$ ,  $P(\Omega)$  and  $U(\Omega)$  this is a consequence of 3.5 below).

Proposition 3.5: a)  $P(\Omega)$  is the semi-direct product of  $M(\Omega)$  by  $U(\Omega)$ . It is the (point by point) fixator of  $\Omega$  and of  $cl(\Omega)$ ; it normalizes  $\mathfrak{p}(\Omega)$  and  $\mathfrak{u}(\Omega)$ .

b)  $\mathfrak{u}(\Omega)$  is an ideal of the algebra  $\mathfrak{p}(\Omega)$  and  $\mathfrak{p}(\Omega) = \mathfrak{m}(\Omega) \oplus \mathfrak{u}(\Omega)$ .

c) The apartments of  $\mathfrak{B}$  containing  $\Omega$  correspond to the Cartan subalgebras of  $\mathfrak{g}$  contained in  $\mathfrak{p}(\Omega)$ . The group  $P(\Omega)$  acts transitively on these sets of apartments or Cartan subalgebras.

d)  $\mathfrak{m}(\Omega)$  is the commutative direct sum of a Kac-Moody algebra and a subspace of  $\mathfrak{h}$ ; its Cartan subalgebras are the Cartan subalgebras of  $\mathfrak{g}$  it contains.

e)  $\mathfrak{m}(\Omega)$  is the centralizer in  $\mathfrak{g}$  of  $\Omega$  (or  $\text{vcl}(\Omega)$ ) considered as inside  $\mathfrak{h}/\mathfrak{k}$  hence inside  $\mathfrak{g}/\mathfrak{k}$ .

f)  $M(\Omega)$  is the fixator of  $\text{ve}(\Omega)$  or  $\text{vcl}(\Omega)$  in  $A(\mathfrak{h})$ . It normalizes  $\mathfrak{m}(\Omega)$  and its image in  $\text{GL}(\mathfrak{m}(\Omega))$  is that of the Kac-moody group corresponding to  $\mathfrak{m}(\Omega)$ . In particular  $M(\Omega)$  is transitive on the Cartan subalgebras of  $\mathfrak{m}(\Omega)$  and it centralizes  $\Omega$  considered as inside  $\mathfrak{g}/\mathfrak{k}$ .

g)  $\mathfrak{u}(\Omega)$ ,  $U(\Omega)$ ,  $\text{ch}(\Omega)$  and  $\text{cl}(\Omega)$  are well defined by  $\Omega$ .

h) We have  $N.M(\Omega) \cap U(\Omega) = \{1\}$  and  $U(\Omega)$  is simply transitive on the vectorial extensions ( or vectorial enclosures ) of  $\Omega$  (in apartments of  $\mathfrak{B}$  containing  $\Omega$ ).

i) If  $\Omega$  meets an open facet of finite type, then  $\mathfrak{m}(\Omega)$  is finite dimensional. If  $\Omega$  meets  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$  then  $\mathfrak{u}(\Omega)$  is finite dimensional and the roots in  $\Delta^{\mathfrak{u}(\Omega)}$  are real.

j) When  $\Omega$  meets  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$  or  $\Omega$  is a facet, the Cartan subalgebras of  $\mathfrak{p}(\Omega)$  are the Cartan subalgebras of  $\mathfrak{g}$  contained in  $\mathfrak{p}(\Omega)$  and  $U(\Omega)$  is the smallest subgroup  $U_1(\Omega)$  of  $P(\Omega)$  normalized by  $M(\Omega)$  and containing  $U_\alpha$  for  $\alpha$  in  $\Delta^{\mathfrak{u}(\Omega)}$ .

**Remarks :** 1) When  $\Omega$  meets  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$ , then  $U(\Omega)$  is in fact a (non commutative) product of the  $U_\alpha$  for  $\alpha$  in  $\Delta^{\mathfrak{u}(\Omega)}$ .

2) By a) and c) the intersection of two apartments is convex (= equal to its convex hull). If  $x$  and  $y$  are two points in  $\mathfrak{B}$ , they are in a same apartment (3.2); the segment  $[x,y]_{\mathfrak{B}}$  is the intersection of the usual segment  $[x,y]$  with the Tits cone; it doesn't depend of the apartment; it is equal to the usual segment when  $x$  and  $y$  are of the same sign. A subset of  $\mathfrak{B}$  is called  $\mathfrak{B}$ -convex if, when it contains  $x$  and  $y$ , it contains  $[x,y]_{\mathfrak{B}}$ . One should notice that this definition is a little awkward when the subset is not entirely contained in  $\mathfrak{B}^+$  or  $\mathfrak{B}^-$ ; e.g. if  $x$  and  $y$  in  $\mathfrak{B}$  are of different signs and not in the interior of the Tits cone, then the set consisting of  $x$  and  $y$  could be  $\mathfrak{B}$ -convex.

**Proof :** It is clear that  $P(\Omega)$  is the fixator of  $\Omega$  and normalizes  $\mathfrak{p}(\Omega)$ . Results b) and e) are also clear.

To look at  $\mathfrak{m}(\Omega)$  and  $M(\Omega)$ , we may suppose that  $\Omega$  is equal to its vectorial extension. But  $\text{TC}^+(\mathfrak{h})$  is convex so if  $F$  is a (positive) open facet which meets  $\Omega$  in a subset of maximal dimension, then  $F$  contains an open subset of  $\Omega$  and  $\Delta^{\mathfrak{m}(\Omega)} = \Delta^{\mathfrak{m}(F)}$ . We may suppose  $F = \text{int}(C_J)$ , in this case  $\mathfrak{m}(\Omega)$  is the sum of  $\mathfrak{h}$  and the Kac-Moody algebra corresponding to  $J$ . We have  $M(\Omega) = M(F) = P(F) \cap P(-F)$  (<sup>10</sup> cor. 5a) and  $M(\Omega)$  is the

fixator of  $F \cup -F$  hence of  $\Omega$  (=  $\text{ve}(\Omega)$ ) and  $\text{vcl}(\Omega)$ . The other properties in d) and f) are then clear. Also  $M(\Omega)$  normalizes  $\mathfrak{u}(\Omega)$ .

The first property of i) is now clear, and the second is a consequence of <sup>6)</sup> 5.2a and exercise 3.6. Properties a), c) and j) when  $\Omega$  is a facet are proved in <sup>10)</sup> cor. 5a and <sup>11)</sup> 1.7.

The first part of c) is an obvious consequence of 3.2. If  $\mathfrak{t}$  is a toral subalgebra of  $\mathfrak{p}(\Omega)$ , we may consider its image  $\mathfrak{t}_1$  in  $\mathfrak{m}(\Omega) \cong \mathfrak{p}(\Omega)/\mathfrak{u}(\Omega)$ . It is a toral subalgebra, so by conjugating by  $M(\Omega)$  we may suppose  $\mathfrak{t}_1$  in the image of  $\mathfrak{h}$  i.e.  $\mathfrak{t}$  in  $\mathfrak{h} \oplus \mathfrak{u}(\Omega)$ . If  $\Omega$  meets  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$ , then we are in a finite dimensional case and, by i), 1.1 and <sup>4)</sup> VII n° 3.2,  $\mathfrak{t}$  is conjugated into  $\mathfrak{h}$  by  $U_1(\Omega)$ . This proves c) and the first part of j) in this case.

Suppose now  $\Omega$  in  $A^+(\mathfrak{h})$  and  $\mathfrak{t}$  is a Cartan subalgebra of  $\mathfrak{g}$  contained in  $\mathfrak{h} \oplus \mathfrak{u}(\Omega)$ . To prove c), we shall prove that  $\mathfrak{t}$  is conjugated to  $\mathfrak{h}$  by  $U(\Omega)$ . The apartment  $A_1 = A(\mathfrak{t})$  contains all chambers of the apartment  $A = A(\mathfrak{h})$  meeting  $\Omega$ ; so we may suppose  $\Omega$  is a union of chambers. Let  $C$  be a chamber in  $\Omega$ ; as a), c) and h) are known for a chamber, we have  $A_1 = uA$  for a unique  $u$  in  $U(C)$ . We prove now that  $u$  is in  $U(\Omega)$  which fixes the enclosure of  $\Omega$ . We know that  $A_1 \cap A$  contains all minimal galleries between two chambers of  $A_1 \cap A$  (<sup>4)</sup> IV exer. 1.24b), but the union of these galleries is  $\text{cl}(\Omega)$  (<sup>4)</sup> IV exer. 1.21c). So we just have to prove that if  $A_1$  and  $A$  contain the chamber  $C_1$ , then  $u$  is in  $U(C_1)$ . But as we saw, a minimal gallery inside  $A$  from  $C$  to  $C_1$  is also in  $A_1$ , and its transform by  $u$  is a gallery in  $A_1$  of the same type; so it's the same and  $u$  fixes  $C_1$ . But  $U(C) \cap P(C_1) = U(C) \cap HU(C_1) \subset U(C_1)$  by <sup>10)</sup> cor. 5 b,c. So  $u$  is in  $U(\Omega)$  which proves c) in this case.

From the preceding proofs we obtain  $P(\Omega) \subset M(\Omega)U(\Omega)N = U(\Omega)M(\Omega)N$  (where  $U(\Omega)$  may be replaced by its subgroup  $U_1(\Omega)$  when  $\Omega$  meets  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$ ); so  $P(\Omega) = U(\Omega)M(\Omega)(N \cap P(\Omega)) = U(\Omega)M(\Omega)$  as  $N \cap P(\Omega) \subset M(\Omega)$ . So  $P(\Omega)$  fixes  $\text{cl}(\Omega)$  and normalizes  $U(\Omega)$ . If  $F$  is a facet of maximal dimension in  $\text{cl}(\Omega)$ , then  $F$  contains an open subset of  $\text{cl}(\Omega)$  and  $\text{vcl}(\Omega)$ , so  $M(\Omega) \subset M(F)$ . It is then clear that  $U(\Omega) \subset U(F)$ , so  $M(\Omega) \cap U(\Omega) = \{1\}$  and  $P(\Omega) = M(\Omega) \rtimes U(\Omega)$ . Also  $\mathfrak{u}(\Omega)$  is the intersection of the  $\mathfrak{u}(C)$  for the chambers  $C$  meeting  $\Omega$ ,  $U(C)$  normalizes  $\mathfrak{u}(C)$  and  $M(\Omega)$  normalizes  $\mathfrak{u}(\Omega)$  so a) follows. When  $\Omega$  meets  $\mathfrak{B}^+$  and  $\mathfrak{B}^-$ , we proved  $P(\Omega) = M(\Omega)U_1(\Omega)$ ,  $U_1(\Omega) \subset U(\Omega) \subset P(\Omega)$  and  $U(\Omega) \cap M(\Omega) = \{1\}$ , so  $U_1(\Omega) = U(\Omega)$  and we proved j).

If  $n$  in  $N$  and  $m$  in  $M(\Omega)$  are such that  $nme \in U(\Omega)$ , then  $ne \in N \cap P(\Omega) \subset M(\Omega)$ , so  $nme \in M(\Omega) \cap U(\Omega) = \{1\}$ . Hence  $NM(\Omega) \cap U(\Omega) = \{1\}$  and by f) 1 is the only element in  $U(\Omega)$  stabilizing  $\text{ve}(\Omega)$  or  $\text{vcl}(\Omega)$ . So h) is a consequence of a), f) and c).

By definition  $u(\Omega)$ ,  $U(\Omega)$ ,  $ch(\Omega)$  and  $cl(\Omega)$  depend only of  $\Omega$  and  $\mathfrak{h}$ . The group  $P(\Omega)$  is transitive on the possible  $\mathfrak{h}$ , normalizes  $u(\Omega)$ ,  $U(\Omega)$  and fixes  $ch(\Omega)$  and  $cl(\Omega)$ . So  $g$  is clear.

### 3.6 Generic Toral Subalgebras :

If  $\mathfrak{h}$  is a Cartan subalgebra of  $\mathfrak{g}$ , a subvectorspace  $E$  of  $V(\mathfrak{h})$  is called generic if it meets the interior of the Tits cone  $TC(\mathfrak{h})$ . We then call  $E \cap TC(\mathfrak{h})$  a generic subspace of the apartment  $A(\mathfrak{h}) = TC(\mathfrak{h})$ .

A toral subalgebra  $\mathfrak{t}$  of  $\mathfrak{g}$  is called generic if for a Cartan subalgebra  $\mathfrak{h}$  containing  $\mathfrak{t}$ , the subspace  $V(\mathfrak{t})$  of  $V(\mathfrak{h})$  is generic. Its Tits cone is then  $TC(\mathfrak{t}) = V(\mathfrak{t}) \cap TC(\mathfrak{h})$ , it contains an open subset of  $V(\mathfrak{t})$ . It is clear that  $\mathfrak{t}$  is generic if and only if  $\mathfrak{t}_r$  is generic and then  $TC(\mathfrak{t}) = TC(\mathfrak{t}_r)$ .

As  $M(TC(\mathfrak{t}))$  fixes  $TC(\mathfrak{t})$ , is transitive on the Cartan subalgebras of  $\mathfrak{m}(TC(\mathfrak{t}))$  and centralizes  $\mathfrak{t}$ , we see that the apartments containing  $TC(\mathfrak{t})$  correspond to the Cartan subalgebras of  $\mathfrak{g}$  containing  $\mathfrak{t}$  and the above definitions for  $\mathfrak{t}$  don't depend of the choice of  $\mathfrak{h}$ .

Let  $Z$  be the centralizer of  $\mathfrak{t}$  in  $G$ , then  $Z$  acting on  $\mathfrak{B}$  stabilizes  $TC(\mathfrak{t})$ . But as  $Z$  acts trivially on  $V(\mathfrak{t})$ ,  $Z$  fixes  $TC(\mathfrak{t})$ . So  $M(TC(\mathfrak{t}))$  is the fixator of  $TC(\mathfrak{t})$  and the centralizer of  $\mathfrak{t}$ . We thus obtain a bijection between rational generic toral subalgebras of  $\mathfrak{g}$  and generic subspaces of  $\mathfrak{B}$ .

## § 4 THE DESCENT :

4.1 Let  $\mathfrak{g}_K$  be an almost split  $K$ -form of a Kac-Moody algebra  $\mathfrak{g}$  as in 2.1 and 2.2. Then the Galois group  $\Gamma$  transforms a parabolic into a parabolic of the same sign, so  $\Gamma$  acts on the sets of facets of  $\mathfrak{B}^+$ ,  $\mathfrak{B}^-$  and thus  $\mathfrak{B}$ , in a way compatible with its actions on  $\mathfrak{g}$  and  $G$ .

If  $B$  is a subset of  $\mathfrak{g}$  or  $G$  stabilized by  $\Gamma$ , we say  $B$  is defined over  $K$  and we write  $B_K$  for the fixed point set  $B^\Gamma$ . We sometimes say e.g.  $P$  is a "K-parabolic" instead of a parabolic defined over  $K$ , etc. It is clear that the subvectorspaces (or subalgebras, Cartan subalgebras, ...) of  $\mathfrak{g}_K$  are in one to one correspondence with subvectorspaces (or subalgebras, Cartan subalgebras, ...) of  $\mathfrak{g}$  defined over  $K$ .

Proposition 4.2 : Let  $\Omega$  be an union of facets of an apartment of  $\mathfrak{B}$ , we suppose that  $\Omega$  is stabilized by  $\Gamma$  and meets  $\mathfrak{B}^+$ ,  $\mathfrak{B}^-$  and an open facet of finite type, then there exists an apartment containing  $\Omega$  which is stable under  $\Gamma$ . If moreover  $\mathfrak{t}$  is a toral subalgebra defined over  $K$  contained in  $\mathfrak{p}(\Omega)$  then one may suppose that the Cartan subalgebra corresponding to this apartment contains  $\mathfrak{t}$ .

Consequence : If a toral subalgebra  $\mathfrak{t}$  and some parabolic subalgebras  $\mathfrak{p}_j$  containing  $\mathfrak{t}$  are defined over  $K$  and such that these parabolic subalgebras contain the same Cartan subalgebra  $\mathfrak{h}$ , are not all of the same sign and one of them is of finite type, then one may suppose  $\mathfrak{h}$  is defined over  $K$  and contains  $\mathfrak{t}$ .

Proof : By 3.5  $\mathfrak{p}(\Omega)$  is finite dimensional and defined over  $K$ ; moreover it contains a Cartan subalgebra of  $\mathfrak{g}$ . So its Cartan subalgebras in the classical sense are Cartan subalgebras of  $\mathfrak{g}$  (1.1, see also <sup>11</sup> 1.6.2). But if  $\mathfrak{t}$  is a toral subalgebra of  $\mathfrak{p}(\Omega)$  defined over  $K$  (e.g.  $\mathfrak{t} = \{0\}$ ), it is contained in a Cartan subalgebra  $\mathfrak{h}$  of  $\mathfrak{p}(\Omega)$  defined over  $K$  (<sup>4</sup> VII §2 prop. 3 and prop. 10). Then  $A(\mathfrak{h})$  is stable under  $\Gamma$  and contains  $\Omega$ .

Proposition 4.3 : Let  $\mathfrak{t}$  be a toral subalgebra of  $\mathfrak{g}$  defined over  $K$ , then  $\mathfrak{t}$  is contained in a Cartan subalgebra  $\mathfrak{h}$  defined over  $K$  and  $\mathfrak{h}$  itself is contained in parabolic subalgebras  $\mathfrak{p}^+$  and  $\mathfrak{p}^-$  defined over  $K$  and of opposite signs.

Proof : Let  $\mathfrak{b}$  be a positive (resp. negative) Borel subalgebra containing  $\mathfrak{t}$  and  $B$  the corresponding group. Then  $\tilde{T}$  normalizes  $\mathfrak{b}$  and  $B$ . Moreover  $\mathfrak{b}$  is defined over a finite extension of  $K$ , so the set of transforms of  $\mathfrak{b}$  or  $B$  by  $\Gamma$  is finite. Hence the set of transforms of  $B$  by the semi-direct product  $\Gamma \rtimes \tilde{T}$  acting on  $G$  is finite. Then  $\Gamma \rtimes \tilde{T}$  stabilizes a positive (resp. negative) parabolic subgroup  $P$  of  $G$  of finite type, as we may apply <sup>8</sup> Theorem  $\tilde{I}$  with remarks a and b (see <sup>10</sup> Theorem 2.c). The corresponding parabolic subalgebra  $\mathfrak{p}$  is defined over  $K$ ; moreover  $T$  stabilizes  $P$ , hence  $T$  is in  $P$  and  $\mathfrak{t}$  in  $\mathfrak{p}$  (1.9).

We have thus parabolics  $\mathfrak{p}^+$  and  $\mathfrak{p}^-$  and we obtain  $\mathfrak{h}$  by applying 4.2 and Birkhoff decomposition (see end of 3.2).

### 4.4 Action of $\Gamma$ on $\mathfrak{B}$ :

As a consequence of 4.3, there exists a Cartan subalgebra  $\mathfrak{h}$  of  $\mathfrak{g}$  defined over  $K$ . So  $\Gamma$  acts on  $A(\mathfrak{h})$  through its linear action on  $V(\mathfrak{h})$ . As  $\mathfrak{B}^+ = G \times A(\mathfrak{h}) / \sim$ , it is easy to see that

this action extend to an action of  $\Gamma$  on  $\mathfrak{B}^+$  compatible with the actions of  $\Gamma$  on  $G$  and of  $G$  on  $\mathfrak{B}^+$ . The same may be done for  $\mathfrak{B}^-$ , so  $\Gamma$  acts on  $\mathfrak{B}$ .

We write  $\mathfrak{B}_K = \mathfrak{B}^\Gamma$ . If  $F$  is a facet of  $\mathfrak{B}$  stabilized by  $\Gamma$ , then  $F^\Gamma$  is non empty and the intersection of  $F$  with a vectorspace. Such subsets are called K-facets. Any point of  $\mathfrak{B}_K$  is contained in a K-facet.

A K-chamber (in  $\mathfrak{B}_K$ ) is a maximal K-facet. So every K-facet is in a K-chamber and there is a one to one correspondence between K-chambers and minimal K-parabolic groups (or subalgebras).

A K-apartment (in  $\mathfrak{B}_K$ ) is a generic subspace  $D$  of an apartment of  $\mathfrak{B}$  which is (point by point) fixed by  $\Gamma$  and maximal with these properties.

Proposition 4.2 may be extended to the case where  $\Omega$  is a subset of an apartment (not necessarily an union of facets) satisfying the other assumptions.

Let  $\Omega$  be a subset of an apartment of  $\mathfrak{B}$  which is stabilized by  $\Gamma$ . Then  $\Gamma$  stabilizes  $\mathfrak{p}(\Omega)$ ,  $\mathfrak{u}(\Omega)$ ,  $P(\Omega)$  and  $U(\Omega)$  and we write  $\mathfrak{p}_K(\Omega)$ ,  $\mathfrak{u}_K(\Omega)$ ,  $P_K(\Omega)$  and  $U_K(\Omega)$  for the corresponding fixed point sets of  $\Gamma$ . If the Cartan subalgebra  $\mathfrak{h}$  in  $\mathfrak{p}(\Omega)$  is defined over  $K$  then  $\Gamma$  stabilizes  $\mathfrak{m}(\Omega)$  and  $M(\Omega)$  and we write  $\mathfrak{m}_K(\Omega) = \mathfrak{m}(\Omega)^\Gamma$  and  $M_K(\Omega) = M(\Omega)^\Gamma$ .

#### 4.5 K-Split Toral Subalgebras :

If  $\mathfrak{t}$  is a toral subalgebra defined over  $K$  of  $\mathfrak{g}$ , then  $\Gamma$  acts on  $X(\mathfrak{t})$  and  $\mathfrak{t}$  is K-split if and only if this action is trivial (so  $\mathfrak{t}_\Gamma$  is then also K-split). In this case the action of  $\Gamma$  on  $V(\mathfrak{t})$  is trivial and if  $\mathfrak{h}$  is a Cartan subalgebra defined over  $K$  containing  $\mathfrak{t}$  then  $V(\mathfrak{t}) \cap A(\mathfrak{h}) \subset A(\mathfrak{h})^\Gamma$ .

If  $\mathfrak{t}$  is generic then  $TC(\mathfrak{t}) = V(\mathfrak{t}) \cap A(\mathfrak{h})$  contains an open subset of  $V(\mathfrak{t})$ . So if  $TC(\mathfrak{t})$  is (point by point) fixed by  $\Gamma$  then  $\mathfrak{t}$  is K-split.

We thus obtain a one to one correspondence between generic subspaces (point by point) fixed by  $\Gamma$  of  $\mathfrak{B}$  and K-split rational generic toral subalgebras of  $\mathfrak{g}$  (c.f. 3.6).

Remark : With our definitions  $\mathfrak{t}$  is always K-split and contained in any maximal K-split toral subalgebra, even if  $\mathfrak{t}_K$  is not contained in the K-vectorspace generated by the coroots : in fact we work in  $\mathfrak{g}/\mathfrak{t}$ .

Proposition 4.6 : A maximal K-split toral subalgebra is rational generic.

Consequence : There is a one to one correspondence between maximal K-split toral subalgebras of  $\mathfrak{g}$  and K-apartments of  $\mathfrak{B}_K$ .

Proof : Let  $\mathfrak{t}$  be a maximal K-split toral subalgebra. By 4.5 and 1.6, we know that  $\mathfrak{t}$  is rational. By 4.3,  $\mathfrak{t}$  is in a Cartan subalgebra  $\mathfrak{h}$  defined over  $K$  such that  $A(\mathfrak{h})^\Gamma$  contains facets of finite type. By 4.5 and as  $\mathfrak{t}$  is maximal, we then know that  $\mathfrak{t}$  is generic.

THEOREM 4.7 : a) The group  $G_K$  acts transitively on the set of K-apartments and on the set of maximal K-split toral subalgebras.

b) There exists an integer  $d$  such that a K-chamber (resp. a K-apartment) of  $\mathfrak{B}_K$  is a K-facet (resp. a generic subspace of  $\mathfrak{B}$  fixed by  $\Gamma$ ) of dimension  $d$ . The K-chambers are of finite type.

c) If  $D$  is a K-chamber, then  $U_K(D)$  is simply transitive on the K-apartments containing  $D$ .

d) Two K-facets are contained in the same K-apartment.

Remarks : 1) When  $\mathfrak{g}_K$  is K-split (i.e. a Cartan subalgebra is K-split) the conjugacy of maximal K-split toral subalgebras (= K-split Cartan subalgebras) is proved in 10).

2) The number  $d$  is the K-rank of  $\mathfrak{g}_K$ ; it is at least 1.

3) A K-facet is contained in a K-apartment (d).

Proof : By 4.3 there exist positive and negative K-facets of finite type. By 4.2 and 3.2, d) is true when the K-facets are of opposite sign and one of them of finite type.

Let  $d$  be the maximal dimension of a K-chamber and  $D$  a K-chamber of this dimension; suppose e.g.  $D$  is positive. For every negative K-facet  $F$  of finite type, there exists a K-apartment  $E$  containing  $D$  and  $F$ ; by the maximality of  $D$  and the convexity of  $E$ , we see that  $E$  is of dimension  $d$ ,  $D$  is of finite type and  $F$  is contained in a K-facet of dimension  $d$ . As we now know that  $D$  is of finite type, the same conclusion is also true if we drop the hypothesis  $F$  of finite type. So the negative K-chambers are the negative K-facets of dimension  $d$ . We may now exchange  $+$  and  $-$ , and the "chamber-part" of b) is proved. Let  $B$  be a generic subspace of  $\mathfrak{B}$  fixed by  $\Gamma$  and of dimension  $d'$ , it contains two opposite K-facets  $F_1$  and  $F_2$  fixed by  $\Gamma$  and of dimension  $d'$ . So we know  $d' \leq d$ . Let  $D$  be a K-chamber containing  $F_2$  and  $E$  a K-apartment containing  $F_1$  and  $D$ . Clearly  $E$  is of dimension  $d$ . But  $E \supset \text{ch}(F_1 \cup F_2) = B$ , so  $B$  is a K-apartment if and only if  $d' = d$ , and b) is proved.

It is then clear that the K-apartments containing a K-chamber  $D$  are the vectorial extensions of  $D$  which are stable under  $\Gamma$ . As  $U(D)$  acts simply transitively on the vectorial extensions of  $D$  (3.5.h), c) is then clear.

As a consequence of b), d) is now proved when the  $K$ -facets are of opposite signs, and when there is only one  $K$ -facet. Take  $D_1$  and  $D_2$  two  $K$ -chambers of the same sign (positive e.g.). Let  $A_1$  (resp.  $A_2$ ) be an apartment stabilized by  $\Gamma$  containing  $D_1$  (resp.  $D_2$ ) and  $A$  an apartment containing  $D_1$  and  $D_2$ . By 3.5 the convex hull of  $D_1$  and  $D_2$  in  $A$  is (point by point) fixed by  $\Gamma$ , and, as  $D_1$  is maximal,  $D_2$  is in the vectorial extension of  $D_1$  in  $A$ . If  $u$  in  $U(D_1)$  is such that  $A = uA_1$ , then  $u^{-1}D_2$  and its opposite  $D_3$  in  $A_1$  ( $D_3 = -u^{-1}D_2$ ) are in the vectorial extension of  $D_1$  in  $A_1$ . Hence  $D_3$  is fixed by  $\Gamma$ . Now  $u = u_1.u_2$  where  $u_2$  fixes  $u^{-1}D_2 \cup D_1$  and  $u_1$  fixes  $D_3 \cup D_1$  (<sup>10</sup> cor. 5b), and the apartment  $u_1A_1$  contains  $D_1$ ,  $D_2$  and  $D_3$ . Applying 4.2 we obtain the  $K$ -apartment wanted for the end of proof of d).

Let  $A_1$  and  $A_2$  be two  $K$ -apartments. If  $D_1$  (resp.  $D_2$ ) is a  $K$ -chamber in  $A_1$  (resp.  $A_2$ ) there exists, by d), a  $K$ -apartment  $A$  containing  $D_1$  and  $D_2$ , and by c)  $A$  is conjugated to  $A_1$  (resp.  $A_2$ ) by an element of  $U_K(D_1)$  (resp.  $U_K(D_2)$ ). Hence  $A_1$  and  $A_2$  are conjugated by  $G_K$  and a) is proved.

#### 4.8 Final Remark :

Let  $\mathfrak{t}$  be a maximal  $K$ -split toral subalgebra. Then we may define the set  $\Delta_K$  of relative roots, i.e. of non-zero weights of  $\mathfrak{t}$  in  $\mathfrak{g}_K$ . If we choose a  $K$ -chamber  $D$  inside the  $K$ -apartment  $TC(\mathfrak{t})$ , we obtain a system of positive roots  $\Delta_K^+$  and a basis  $\Pi_K$ . But it may happen that a fundamental root  $\beta$  (= root in  $\Pi_K$ ) is imaginary in the sense that the corresponding facet (of codimension 1 in  $D$ ) is not of finite type and that  $\mathbb{Z}\beta \cap \Delta_K$  is infinite.

This is the case when the  $K$ -rank is one. A concrete example may be described in the spirit of <sup>12</sup>): If  $\mathfrak{k}$  is a compact real form of a semi-simple Lie algebra  $\mathfrak{g}$ , then, with classical notations,  $(\mathfrak{k} \otimes \mathbb{R}[t, t^{-1}] \oplus \mathbb{R}c \oplus \mathbb{R}d)$  is a real form of the affine Lie algebra  $(\mathfrak{g} \otimes \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}c \oplus \mathbb{C}d)$ ; its maximal  $\mathbb{R}$ -split toral subalgebra is  $\mathfrak{t}_{\mathbb{R}} = \mathbb{R}c \oplus \mathbb{R}d$ .

This problem and the corresponding structure of  $G_K$  will be discussed in a forthcoming paper.

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Almost split  $K$ -forms of Kac-Moody algebras.

*Infinite-dimensional Lie algebras and groups (Luminy-Marseille, 1988)*, 70-85, *Adv. Ser. Math. Phys.*, 7, World Sci. Publishing, Teaneck, NJ, 1989.

Let  $L$  be an indecomposable infinite-dimensional Kac-Moody algebra over  $\bar{K}$ , where  $K$  is a field of characteristic 0 and  $\bar{K}$  its algebraic closure. A  $K$ -form of  $L$  is a Lie algebra  $L_K$  such that  $L$  is isomorphic to  $L_K \otimes \bar{K}$ . Then the Galois group  $\Gamma = \text{Gal}(\bar{K}|K)$  acts on  $L$  and the corresponding group  $G$ . We identify  $L_K$  with the fixed point set  $L^\Gamma$ . The Lie algebra  $L_K$  is said to be almost split if  $\Gamma$  consists of (linear or semilinear) automorphisms that transform a Borel subalgebra of  $L$  into a Borel subalgebra of the same sign. In the paper under review the author shows that any two maximal  $K$ -split toral subalgebras (subalgebras which are  $\text{ad } L_K$ -diagonalizable) of  $L_K$  are conjugate under  $G_K = G^\Gamma$ .

{For the entire collection see MR 90h:17001.}

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